



PATENT APPLICATION  
File No: 00-100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Charles E. Hart, Stavros Topouzis  
Serial No. : 10/039,847  
Group Art Unit :  
Examiner :  
Filed : October 26, 2001  
For : COMPOSITIONS AND METHODS FOR IMPROVING KIDNEY  
FUNCTION  
Date Submitted : June 11, 2002

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

Submitted herewith is the Combined Declaration and Power of Attorney signed and dated by Applicants for the above-captioned application. This submission is in response to the Notice to File Missing Parts dated April 16, 2002 (a copy thereof is attached hereto) and is being filed within two months of the date of the letter.

Also submitted herewith is a sequence listing diskette. This submission is in response to the CRF Problem Report (a copy thereof is attached hereto) mailed with the Notice to File Missing Parts.

The content of the above-captioned application and the computer readable copy is the same and, where applicable, includes no new matter as required by 37 CFR 1.821-1.825.

Applicants claim small entity status. Please charge the total fee, estimated to be \$65.00, to ZymoGenetics, Inc., Deposit Account No. 26-0290. A duplicate of this sheet is enclosed.

Respectfully submitted,

Gary E. Parker  
Registration No. 31,648



0300  
GM  
Box Seq

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

U.S. Patent and Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

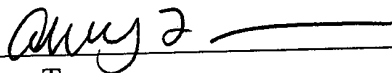
I hereby certify that the attached correspondence comprising:

1. Return Postcard
2. Response to Notice to File Missing Parts (in duplicate)
3. Copy of Notice to File Missing Parts
4. Copy of CRF Problem Report
5. Executed Combined Declaration and Power of Attorney
6. Sequence Listing Diskette compliant with 37 CFR 1.821-1.825

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Arlington, VA 22202

on June 11, 2002.

  
Amy Toman

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,847	10/26/2001	Charles E. Hart	00-100

CONFIRMATION NO. 9351

## FORMALITIES LETTER



\*OC000000007870971\*

ZymoGenetics, Inc.  
1201 Eastlake Avenue East  
Seattle, WA 98102

Date Mailed: 04/16/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.


For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

07/16/2002 MMARNDL 00000013 260290 10039847

01 FC:205 65.00 CH

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 10/039,847  
Filing Date: 10/26/2001  
Date Processed by STIC: 1/23/2002

STIC Contact: Mark Spencer, 703-308-4212

### Nature of Problem:

The CRF (was):

- ☒ (circle one) Damaged or Unreadable (for Unreadable, see attached)  
☐ Blank (no files on CRF) (see attached)  
☐ Empty file (filename present, but no bytes in file) (see attached)  
☐ Virus-infected. Virus name: \_\_\_\_\_ The STIC will not process the CRF.  
☐ Not saved in ASCII text  
☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.  
☐ Did not contain a Sequence Listing. (see attached sample)  
☐ Other: \_\_\_\_\_

**PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS.  
SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
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3. Hand Carry directly to:  
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Revised 01/29/2002